

MINUTES

CALIFORNIA TRANSPORTATION COMMISSION

June 7-8, 1999
Los Angeles, California

The meeting of the California Transportation Commission was called to order on June 7, 1999 at 1:55 p.m. at the Ronald Reagan State Building Auditorium, 300 South Spring Street, Los Angeles, California.

The Commissioners' attendance was as follows:

Members Present: Edward Sylvester, Chairman
 Dana Reed, Vice Chairman
 Jeremiah Hallisey
 Jim Kellogg
 Roger Kozberg
 Esteban Torres
 Robert Wolf

Members Absent: Senator Betty Karnette, Ex-Officio
 Assemblyman Tom Torlakson, Ex-Officio

The meeting recessed at 5:05 p.m. and reconvened on June 8, 1999 at 8:20 a.m. The Commissioners' attendance was as follows:

Members Present: Edward Sylvester, Chairman
 Dana Reed, Vice Chairman
 Jeremiah Hallisey
 Jim Kellogg
 Roger Kozberg, arrived at 8:30 a.m.
 Esteban Torres
 Robert Wolf

Members Absent: Senator Betty Karnette, Ex-Officio
 Assemblyman Tom Torlakson, Ex-Officio

1. GENERAL MATTERS

1.(1) Approval of Minutes for May 5, 1999 Meeting

Chairman Sylvester presented the minutes. Vice Chairman Reed moved to approve the minutes. Commissioner Kozberg seconded the motion which carried 7-0.

1.(2) Commissioners' Meetings for Compensation

Chairman Sylvester presented the List of Commissioners' Meetings for Compensation. Vice Chairman Reed moved to approve the List. Commissioner Wolf seconded the motion which carried 7-0.

1.(3) Consent Calendar

Deputy Director Robert Chung reviewed the changes to the Consent Calendar. The changes were as follows:

Item 2.1d.(2) Agenda language was corrected to change the number of pedestrian walkway projects from six to two.

Item 2.4b. Resolutions of Necessity C-17811 and C-17814 were withdrawn by the Department prior to the meeting because a settlement had been reached.

Item 2.5b.(2) SHOPP Projects #10 and #12 were withdrawn by the Department prior to the meeting because of pending permit approvals.

Item 2.5b.(1) SHOPP Projects # 2 was withdrawn at the request of the Metropolitan Transportation Commission because the project was not ready to vote.

Deputy Director Chung reviewed the Potential Consent Calendar which consisted of Items 2.1c.(1) and 2.6a.(3), regarding the North Coast Railroad Authority and the Q fund sinking fund. It was the consensus of the Commission to add these items to the Consent Calendar.

Richard Silva, Rail Passengers Association, spoke in opposition to the Caltrain project and requested that the item be held over until an organized plan for capital improvement projects had been completed. Therese McMillan, MTC, discussed the Caltrain item noting that it formed a fundamental core of track improvements to the corridor. Carter Mann, JPB, noted that the project had been approved through the regional process and was part of the core corridor and used to leverage federal funding.

After discussion, Vice Chairman Reed moved to approve the Consent Calendar with the changes and additions presented by staff. Commissioner Kozberg seconded the motion which carried 7-0.

1.(4) Commission Reports

Commissioner Hallisey discussed his meeting of the Transportation Committee of the Infrastructure Commission and noted that another meeting was planned for August.

Commissioner Wolf discussed his meeting with the Transit Authority and the proposed 12.5% cap on federal transit funds. After discussion, Commissioner Wolf moved to direct staff to prepare a letter to the Congressional delegation, the State Legislature, and the Administration opposing a proposed 12.5% cap on federal transit funds. Vice Chairman Reed seconded the motion which carried 7-0.

ACTION: P. Hathaway

Commissioner Wolf also discussed the interface between Native Americans and the State of California. During the discussion, staff was directed to work toward conducting a workshop on the relationship between Regional Plans and Native American reservation access and development.

ACTION: C. Oldham/S. Scherzinger

1.(5) Business, Transportation and Housing Agency Report

This item was presented in conjunction with Item 1.6.

1.(6) Caltrans Report

Craig House, Caltrans, reported on the status of the Route 710 extension, noting that the U.S. District judge had stopped the extension but did not prohibit expenditures on design and planning. He also reported on (1.) the status of the budget which is still in Conference Committee, and (2.) the status of the ITIP which is scheduled to be released in time for public notice for the July meeting.

1.(7) Regional Agencies Report

Regional Agencies Moderator Therese McMillan, discussed the regional agencies meeting. She also introduced Vic Kamhi who will be the new Regional Agencies Moderator in July. Chairman Sylvester noted that Ms. McMillan had done a superb job as Moderator and presented her with a CTC resolution commending her for a job well done.

2. PROJECT BUSINESS MATTERS

2.1 Program Amendments/Project Approvals

2.1a. STIP Amendments for Action

2.1a.(1) STIP Amendment 98S-31 - Action

Revises programmed year for various STIP projects to avoid loss of funds for projects with components programmed in Fiscal Year 1998-99.

Wayne Lewis, Caltrans, described the STIP Amendment and recommended approval.

Vice Chairman Reed moved to approve the STIP Amendment. Commissioner Kellogg seconded the motion which carried 6-0 (Commissioner Hallisey was absent).

2.1a.(2) STIP Amendment 98S-31A - Action

Reprograms the Yosemite Area Regional Transportation Strategy (YARTS) project, reducing the cost of the project by \$310,000, splitting the project into an implementation phase and a demonstration phase, and moving the programming of several elements to future fiscal years.

- Report on June 4 Public Transit Committee Meeting**
- Recommendation of Public Transit Committee**

Vice Chairman Reed reported on the Public Transit Committee's review and discussion of the YARTS project. He presented the Committee's recommendation which was to approve the STIP Amendment to move the funding from the current fiscal year into the fiscal years requested by Caltrans. He further stated that the Commission would not allocate funds at the December 1999 meeting until YARTS (1.) completes the environmental process; (2.) forms a JPA ; and (3.) selects an operator, as well as demonstrating that the transit operations are fully funded.

The following individuals submitted green cards and presented testimony on this item:

- Jeanne Aceto, private citizen
- Lou Aceto, representing Tuolumne County Supervisor Mark Thornton
- Ron Young, private citizen

Vice Chairman Reed moved to adopt the Committee recommendation. Commissioner Kellogg seconded the motion. In the absence of a quorum, (Commissioners Sylvester, Torres, and Wolf were absent), the vote on this item was delayed.

Later in the meeting, Vice Chairman Reed moved to adopt the Committee recommendation. Chairman Sylvester seconded the motion which carried 5-0 (Commissioners Torres and Wolf were absent).

2.1a.(3) STIP Amendment 98S-33 - Action

Deletes the Hatton Canyon project in Monterey County and moves the funds to the Prunedale Bypass. The Transportation Agency for Monterey County (TAMC) is requesting this amendment.

Jim Nicholas, Caltrans, described the STIP Amendment, discussed its history, and recommended approval.

Commissioner Wolf clarified that no one was advocating abandoning the Hatton Canyon project, but recognizing the challenges and fiscal constraints, it was necessary to use available funds on the Prunedale Bypass and reprogram funding for Hatton Canyon when all of the issues had been resolved.

The following people submitted green cards and presented testimony to the Commission:

- Judy Pennycook, Monterey county Board of Supervisors and Chair of TAMC
- Dave Potter, Monterey County Board of Supervisors and TAMC member
- Adrienne Grover, TAMC Legal Counsel
- Christine Gianoscol, Attorney for Citizens for Hatton Canyon
- Dick Spencer, representing Citizens for Hatton Canyon

Commissioner Wolf moved to approve the STIP Amendment. Vice Chairman Reed seconded the motion. After discussion, the motion carried 7-0.

2.1a.(4) STIP Amendment 98S-35 - Action

Revises program year for eleven TEA projects to avoid loss of funds programmed in FY 1998-99.

Marsha Mason, Caltrans, reviewed the schedule changes, noted that Orange County had asked to retain the original amount of \$974,000 for its landscaped gateways project, and recommended approval with that change.

Commissioner Hallisey moved to approve the STIP Amendment. Commissioner Kellogg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

2.1b. STIP Amendments for Notice**2.1b.(1) STIP Amendment 98S-32 - Notice**

Revises programmed year and makes other changes for various STIP projects with components programmed in FY 1999-2000 and beyond.

Wayne Lewis, Caltrans, reviewed the project and noted that it would be presented at the July meeting for approval.

2.1b.(2) STIP Amendment 98S-36 - Notice

Technical Corrections to 1998 STIP Augmentation.

This item was deleted from the agenda.

2.1b.(3) STIP Amendment 98S-39 - Notice

Reprograms \$369,000 in TEA funds, from the Right-of-Way phase programmed in the 1998 STIP to the Project Approval and Environmental Document phase for the San Joaquin River Conservancy Friant Cove Bicycle Rest Stop project. The Council of Fresno County Governments is requesting this amendment.

Marsha Mason, Caltrans, described the shift of funding to archaeological studies, which would have to be completed before right-of-way could be bought for this project, and noted that the amendment and allocation of funds would be presented at the July meeting for approval.

2.1c. TCI Amendments for Notice/Action**2.1c.(1) TCI Amendment 98S-37 - Action**

This amendment modifies the scope of two North Coast Railroad Authority (NCRA) projects programmed in the FY 1997-98 TCI Program from Shortline Railroad Rehabilitation to Q-Fund Sinking fund repayment. (Concurrent Allocation under Item 2.6a.(3).)

This item was approved on the Consent Calendar.

2.1c.(2) TCI Amendment 98S-38 - Action

This amendment exchanges the funding year for one project programmed in the FY 1996-97 TCI Program and for two projects programmed in the FY 1997-98 TCI Program. This exchange between fiscal years prevents the loss of FY 1996-97 TCI Program funds that will revert if the projects are not deliverable by June 7, 1999. (Concurrent Allocations under Items 2.6a.(1) and (2).)

Deputy Director Robert Chung described the TCI Amendment and recommended approval.

Commissioner Kellogg moved to approve the TCI Amendment. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Reed and Wolf were absent).

2.1d. Proposition 116 - Non-Urban County Project Approvals/Amendments

2.1d.(1) Project Approval Amendment for the County of Lake to reduce projects and a Bus Stop Improvements project, and to add a new transit vehicle acquisition project. (Concurrent Allocation under Item 2.6b.(3) and Allocation Amendment under Item 2.6e.(1).) Resolution PA-99-11 Amending Resolution PA-96-34

This item was approved on the Consent Calendar.

**2.1d.(2) Project Approval Amendment for the County of Siskiyou to reduce the amount programmed for the McCloud Railroad Right of Way Acquisition and Related Assets project, and to add one new bus rehabilitation project and six new pedestrian walkway projects. Also transfers the applicant status for the new projects from the Local Transportation Commission to various local agencies. The McCloud Railroad Acquisition project is no longer viable. (Concurrent Allocation under Item 2.6b.(3) and Allocation Amendment under Item 2.6e.(2).)
Resolution PA-99-12 Amending Resolution PA-94-02**

This item was approved on the Consent Calendar.

**2.1d.(3) Project Approval Amendment for the Town of Paradise, in Butte County, to delete the Bille and Pentz Roads Pedestrian Facilities project and expand the scope of the existing Pearson Road Pedestrian Improvements project.
(Concurrent Allocation under Item 2.6b.(3).)
Resolution PA-99-13 Amending Resolutions PA-93-43 and PA-93-48**

This item was approved on the Consent Calendar.

2.1e. Proposition 116 - Rail Project Approvals/Amendments

**2.1e.(1) Project Approval for \$8,620,000 to Department of Transportation (Caltrans) for Stockton Burlington Northern Santa Fe (BNSF) Track Improvements Project. (Concurrent Allocation under Item 2.6b.(2).)
PA-99-14**

This item was approved on the Consent Calendar.

**2.1e.(2) Project Approval for \$8,645,000 to Peninsula Corridor Joint Powers Board (PCJPB) for Track and Signal Rehabilitation Project.
PA-99-15**

This item was approved on the Consent Calendar.

2.1f. Environmental Enhancement and Mitigation (EEM) Program Amendment

· **Reduce the amount programmed for the Wildlife Conservation Board's Calabasas Pond project from \$250,000 to \$178,570, a savings of \$71,430; and the State Coastal Conservancy's Moro Cojo Slough Acquisition project from \$250,000 to \$205,320, a savings of \$44,680; and add a new Kings River Conservation District Trout Spawning and Riparian Revegetation project for \$116,000. The remaining balance (\$110) will revert to the EEM Demonstration Fund. (Concurrent Allocation under Item 2.5c.(3).)**
Resolution G-99-15 Amending Resolution G-98-16

EEM Program Manager Rick Gumz described the EEM Amendment and recommended approval.

Vice Chairman Reed moved to approve the EEM Amendment. Commissioner Kozberg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

2.2 Environmental Matters

2.2a. Comments on Document in Circulation (Draft Supplemental EIR) -

No Items This Month

2.2b. Approval of Project for Future Consideration of Funding (Negative Declaration)

· **Route 101 in Monterey County - Revise the San Miguel Canyon Road Interchange in the community of Prunedale.**
Resolution E-99-15

This item was approved on the Consent Calendar.

2.2c. Notice of Preparation of an Environmental Impact Report (EIR)

· **Route 5 in Los Angeles County - Replace the Santa Clara River Bridge in the City of Santa Clarita.**

Chief Deputy Director Pete Hathaway reviewed the Notice of Preparation and recommended that no comments be sent at this time. The Commission accepted his recommendation.

2.3 Highway Route Matters**2.3a. Route Adoptions**

No Items This Month

2.3b. New Public Road Connections

No Items This Month

2.3c. One Relinquishment Resolution

· **04-Son-116-PM R12.1/12.6 Route 116 in the County of Sonoma. Resolution R-3400**

This item was approved on the Consent Calendar.

2.3d. Vacation Resolutions

No Items This Month

2.4 Highway Right of Way Matters**2.4a. Resolutions of Necessity - Appearances**

· **06-Ker-58-186.37
Clark, et ux
C-17778**

This item was pulled from the agenda prior to the meeting.

**2.4b. 46 Resolutions of Necessity
C-17779 through C-17810
C-17812 through C-17813
C-17815 through C-17826**

This item was approved on the Consent Calendar.

2.4c. Airspace Lease Direct Negotiation

No Items This Month

2.4d. Director's Deeds

2.4d.(1) Item #1 Through 9

Excess Lands - Return to State: \$1,708,682

Return to Others: \$0

This item was approved on the Consent Calendar.

2.4d.(2) Item #10

Excess Lands - Return to State: \$0

Return to Others: \$0

This item was approved on the Consent Calendar.

2.5 Highway Financial Matters

**2.5a. Financial Vote for Eleven Minor Projects totaling \$4,528,256
plus \$469,000 from other sources.
Resolution FP-98-82**

This item was approved on the Consent Calendar.

2.5b. Financial Vote for STIP/SHOPP Projects on the State Highway System.

**2.5b.(1) Twelve STIP Projects totaling \$45,801,000 plus \$769,000 from other sources.
Resolution FP-98-83**

This item was approved on the Consent Calendar.

**2.5b.(2) Twenty-eight SHOPP Projects totaling \$81,712,000.
Resolution FP-98-84**

This item was approved on the Consent Calendar.

**2.5b.(3) Thirty-one SHOPP Projects Amended into the SHOPP by Department Action totaling \$125,180,000.
Resolution FP-98-85**

Jim Nicholas, Caltrans, described the SHOPP Amendment, noting that funding for the 31 projects is above the Department's 4-year SHOPP funding level, and describing possible funding options.

After discussion, Commissioner Wolf moved to approve funding by redirecting savings within the SHOPP. Vice Chairman Reed seconded the motion which carried 6-0 (Commissioner Hallisey was absent).

**2.5b.(4) One SHOPP Project for \$17,600,000 - Noyo River Bridge.
Resolution FP-98-91**

Jim Nicholas, Caltrans, described the Noyo River Bridge Replacement project, summarized the objections to the project, and recommended approval. Chairman Sylvester inquired as to the status of the permit, and Mr. Nicholas responded that the last permit would be secured in the next couple of weeks and the project would be advertised by August 15 and awarded in November, with construction set to start after June 1, 2000 due to seasonal restrictions. He reported that the Coastal Commission permit was in suspense under reconsideration granted by the Coastal Commission Director.

Commissioner Wolf noted this was a seismic safety issue and moved to approve the allocation for the project. Vice Chairman Reed seconded the motion.

Vince Taylor, representing the Dharma Cloud foundation, spoke in opposition to the project and asked the Commission to direct Caltrans to perform a feasibility study of alternate bridge railing designs.

After further discussion about the need to move forward due to seismic safety concerns and noting that the public had had ample opportunity to provide input on the project before it got to this phase, the motion carried 6-1 (Commissioner Hallisey voted no).

2.5c. Financial Vote for STIP/TEA/EEM Projects off the State Highway System

**2.5c.(1) Eleven Local Assistance STIP Projects totaling \$21,109,000 plus \$14,685,000 from other sources.
Resolution FP-98-86**

This item was approved on the Consent Calendar.

**2.5c.(2) Five Local Assistance TEA Projects totaling \$890,000 plus \$149,000 from other sources.
Resolution FP-98-87**

This item was approved on the Consent Calendar.

**2.5c.(3) Seven Local Assistance EEM Projects totaling \$1,433,631 plus \$4,618,325 from other sources.
Resolution FP-98-88**

EEM Program Manager Rick Gumz presented the resolution for seven local assistance EEM Projects and recommended approval.

Vice Chairman Reed moved to approve the allocation. Commissioner Kozberg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

**2.5d. Financial Vote for Projects with Cost Increases Greater than 120 Percent of Program Amount. One Project for \$7,680,000.
Resolution FP-98-89**

- **07-LA-5 - SHOPP Project in Los Angeles County on Route 5. Replace three bridge decks. Current programmed value of \$4,473,000 and current estimate of \$7,680,000, for a 72 percent increase over programmed amount.**

Jim Nicholas, Caltrans, reviewed the project and explained the reasons for the cost increase.

Vice Chairman Reed moved to approve the allocation. Commissioner Kozberg seconded the motion which carried 7-0.

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**2.5e. Financial Vote for Supplemental Funds for Previously Voted projects
Five Projects totaling \$2,027,000.
Resolution FA-98-09**

- **01-Men-162 - SHOPP Project in Mendocino County on Route 162. Original G-11 for \$1,500,000. Awarded for \$1,500,000. Current allocation \$2,200,000. This request of \$1,100,000 is for on going construction, for a grand total of \$3,300,000. 120 Percent increase over vote.**
- **04-SCI-280 - SHOPP Project in Santa Clara County on Route 280. Original vote \$555,000. Current allocation \$555,000. This request of \$226,000 is to allow award, for a grand total of \$781,000. 41 Percent increase over vote.**
- **07-LA-14 - SHOPP Project in Los Angeles County on Route 14. Original vote \$1,560,000. Awarded for \$2,100,500. Current allocation \$2,100,500. This request of \$121,000 is for claims settlement, for a grand total of \$2,221,500. 42 Percent increase over vote.**
- **07-LA-101 - STIP Project in Los Angeles County on Route 101. Original vote \$13,500,000. Awarded for \$9,416,000. Current allocation \$10,556,777. This request of \$378,000 is for claims settlement, for a grand total of \$10,934,777. 16 Percent increase over award.**
- **08-SBd-95 - SHOPP Project in San Bernardino County. Original vote \$297,000. Awarded for \$297,000. Current allocation \$297,000. This request of \$202,000 is for project close-out, for a grand total of \$499,000. 68 Percent increase over vote.**

Jim Nicholas, Caltrans, reviewed the projects and explained why supplemental funds were needed.

Commissioner Kozberg moved to approve the supplemental funds. Commissioner Wolf seconded the motion which carried 5-0 (Commissioners Hallisey and Kozberg were absent).

2.5f. Emergency and Seismic Retrofit G-11 Allocations

One Project for \$350,000, plus one additional emergency project for \$541,000 totaling \$891,000, and one seismic retrofit project for \$7,800,000 approved by the Department after the preparation of the June 1999 meeting material, for a total of two emergency projects and one seismic retrofit project.

Jim Nicholas, Caltrans, reviewed the emergency and seismic retrofit allocations. The Commission accepted the report without comment.

2.5g. Nine SHOPP Rehabilitation Projects Allocated by the Department under Resolution G-99-10 totaling \$39,651,000.

Jim Nicholas, Caltrans, reviewed the SHOPP rehabilitation projects allocated by the Department. The Commission accepted the report without comment.

**2.5h. Allocation of \$190,000,000 to Caltrans for FY 1999-2000 Right-of-Way Program.
Resolution FM-99-05**

Stephen Maller, Caltrans, reviewed the allocation for the Right-of-Way Program and recommended approval.

Commissioner Kozberg moved to approve the allocation. Commissioner Wolf seconded the motion which carried 7-0.

**2.5i. Amendment to FY 1998-99 Local Assistance Allocation to Include Rural County Exchange of TEA Funds.
Resolution FM-99-07, Amending Resolution FM-98-05**

Marsha Mason, Caltrans, reviewed the request to amend \$3,212,000 into Caltrans' FY 1998-99 annual local assistance allocation to cover exchange of TEA funds for ten rural counties, and recommended approval.

Vice Chairman Reed moved to approve the amendment. Commissioner Kellogg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

**2.5j. Allocation of \$1,092,157,000 to Caltrans for FY 1999-2000
Local Assistance Program.
Resolution FM-99-06**

Joan Borucki, Caltrans, reviewed the allocation for the Local Assistance Program and recommended approval.

Vice Chairman Reed moved to approve the allocation. Commissioner Wolf seconded the motion which carried 5-0 (Commissioners Hallisey and Kozberg were absent).

**2.5k. Allocation of \$59,411,000 to Caltrans for FY 1999-2000
Phase 2 Seismic Retrofit Project support costs, pursuant to
Proposition 192. Resolution FP-98-90**

There was no discussion for this item.

Vice Chairman Reed moved to approve the allocation. Commissioner Kellogg seconded the motion which carried 5-0 (Commissioners Hallisey and Kozberg were absent).

**2.5l. Transfer of \$270,000,000 of Local Assistance Appropriation
to Capital Outlay Appropriation
Resolution FM-99-08**

Joan Borucki, Caltrans, discussed the transfer of \$270 million from the Local Assistance Appropriation to the Capital Outlay Appropriation and recommended approval.

Vice Chairman Reed moved to approve the transfer. Commissioner Kellogg seconded the motion which carried 5-0 (Commissioners Hallisey and Kozberg were absent).

2.6 Mass Transportation Financial Matters**2.6a. Financial Vote for Transit Capital Improvement (TCI) Program Projects****2.6a.(1) Four Local TCI Program projects for \$5,343,451.
(Concurrent TCI Amendment under Item 2.1c.(2).)
Resolution MFP-98-31**

Deputy Director Robert Chung discussed the proposed allocation for four projects. He noted that three of the projects were without concerns and could be voted. He noted that Caltrans opposed the allocation for the City of Needles because the value of the land and the rail station structure, according to Caltrans, was not sufficient to provide the required dollar-for-dollar match. He discussed the options which included (1.) not voting the Needles project; (2.) voting the Needles project if they provided sufficient local match; (3.) provide more time for resolving the issue by re-programming the Needles project from FY 96/97 to FY 97/98 and moving another project into FY 96/97 from FY 97/98 or (4) approving this project, along with the other three projects, without conditions.

Vice Chairman Reed moved to approve the three projects without the allocation for the City of Needles. Commissioner Wolf seconded the motion which carried 6-0 (Commissioner Hallisey was absent).

Tom Parry, City of Needles City Manager, discussed the project and noted that the local match was the worth of the building.

Commissioner Wolf moved to re-program another project from the FY 97/98 Transit Capital Improvement Program in the place of the Needles project and moved the Needles project to FY 97/98 TCI Program in order to permit the local agency time to resolve the right-of-way valuation issues with Caltrans and/or provide the required local match. Vice Chairman Reed seconded the motion which carried 6-0 (Commissioner Hallisey was absent).

**2.6a.(2) Three Local TCI Program projects for \$1,818,000.
(Concurrent TCI Amendment under Item 2.1c.(2).)
Resolution MFP-98-32**

Deputy Director Chung discussed the allocation for three local TCI Program projects and recommended approval.

Commissioner Torres moved to approve the allocation. Commissioner Kellogg seconded the motion which carried 5-0 (Commissioners Reed and Wolf were absent).

- 2.6a.(3) One TCI Program project allocation for \$347,000 to the Department of Transportation, on behalf of the North Coast Railroad Authority, for partial Q-Fund Sinking fund repayment. (Concurrent TCI Amendment under Item 2.1c.(1).) Resolution MFP-98-33**

This item was approved on the Consent Calendar.

2.6b. Financial Vote for Proposition 116 Bond Fund Program Projects

2.6b.(1) Local Rail Program Projects

No Items This Month

- 2.6b.(2) One State Rail Program Project for \$8,620,000. (Concurrent Project Approval under Item 2.1e.(1).) Resolution BFP-98-29**

This item was approved on the Consent Calendar.

- 2.6b.(3) Ten Local Proposition 116 Non-Urban Counties Program Projects totaling \$3,110,473. (Concurrent Project Approval Amendments under Items 2.1d.(1), (2), and (3).) Resolution BFP-98-30**

This item was approved on the Consent Calendar.

2.6c. Financial Vote for State/Local STIP Rail/Transit Projects

- 2.6c.(1) Eight Local STIP Rail/Transit Projects totaling \$11,219,000. Resolution MFP-98-34**

This item was approved on the Consent Calendar.

**2.6c.(2) Four Local STIP Rail/Transit Projects totaling \$4,143,000.
(Concurrent STIP Amendment under Item 2.1a.(1).)
Resolution MFP-98-35**

Deputy Director Chung presented the allocation for four local STIP Rail/Transit projects and recommended approval. Commissioner Kellogg moved to approve the allocation. Commissioner Kozberg seconded the motion which carried 5-0. (Commissioners Reed and Wolf were absent).

2.6d. Financial Vote for Multiple-Program Funded Projects

No Items This Month

116 Non Urban

County of Lake - De-allocates a total of \$18,724 savings from three transit vehicle originally approved for \$684,776.

Amendment

**Resolution BFA-98-13,
BFP-96-18, BFP-95-47,**

This item was approved on the Consent Calendar.

2.6e. Allocation Amendments - Proposition

Counties Program

2.6e.(1)

in cost

acquisition projects

\$703,500, for a revised total of

(Concurrent Project Approval

under Item 2.1d.(1).)

Amending Resolutions

and BFP-95-03

2.6e.(2) County of Siskiyou - De-allocates a total of \$874,040 from the McCloud Railroad Right of Way and Related Assets project originally approved for \$955,994, for a revised total of \$81,914. Project is no longer viable. (Concurrent Project Approval Amendment under Item 2.1d.(2).) Resolution BFA-98-14, Amending Resolution BFP-94-01

This item was approved on the Consent Calendar.

- 2.6e.(3) County of Tehama - De-allocates a total of \$2,817 in cost savings from a transit vehicle acquisition project originally approved for \$381,500, for a revised total of \$378,683. (Concurrent Allocation under Item 2.6b.(3).) Resolution BFA-98-15, Amending Resolution BFP-95-28**

This item was approved on the Consent Calendar.

- 2.6e.(4) Redding Area Bus Authority (RABA) - De-allocates a total of \$9,639 in cost savings from a transit capital program project originally approved for \$640,800, for a revised total of \$631,161. (Concurrent Allocation under Item 2.6b.(3).) Resolution BFA-98-16, Amending Resolution BFP-95-31**

This item was approved on the Consent Calendar.

2.7 Aeronautics Financial Matters

- 2.7a. Allocation of \$44,000 for FY 1998-99 California Aid to Airports Program (CAAP) funds for one project from the 1998 Aeronautics Program. Resolution FDOA-99-4**

- County of Kern - Poso Airport in Kern County
\$44,000 - Construct turnarounds Runway 16/34**

This item was approved on the Consent Calendar.

- 2.7b. Allocation of \$1,400,000 for FY 1999-00 in Aeronautics Funds Included as a set-aside to match Federal Airport Improvement Program (AIP) Grants in the 1998 Aeronautics Program. Resolution FDOA-99-5**

This item was approved on the Consent Calendar.

2.8 Waiver of Commission Policy

2.8(1) Request for waiver of the Financial Guidelines for Local Agency Reimbursement to extend the period of project reimbursement for six Proposition 116 Competitive Bicycle projects from June 30, 1999 to June 30, 2000. The Commission has allocated \$1,612,875 for these projects. Total of reimbursements through April 21, 1999 for all projects is \$124,460; the remaining balance for all projects is \$1,488,415.

Executive Director Remen reviewed the waiver requests for Items 2.8 (1-3,5-6, and 8).

Commissioner Hallisey moved to approve the waiver requests. Commissioner Torres seconded the motion which carried 5-0 (Commissioners Reed and Wolf were absent).

2.8(2) Request for waiver of the Financial Guidelines for Local Agency Reimbursement to extend the period of project reimbursement for three Proposition 116 Non-Urban Counties Program Bicycle projects from June 30, 1999 to June 30, 2000. The Commission has allocated \$1,911,777 for these projects. Total of reimbursements through April 21, 1999 for all projects is \$1,072,510; the remaining balance for all projects is \$839,267.

Refer to Item 2.8(1) for proceedings related to this item.

2.8(3) Request for Waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines Section 47 - Timely Use of Funds from two agencies to extend the period of project reimbursement for two transit projects.

Refer to Item 2.8(1) for proceedings related to this item.

2.8(4) Request for Waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines Section 47 - Timely Use of Funds from various agencies to extend the period of allocation for up to 20 months for specified projects.

Debbie Mah, Caltrans presented 10 projects requesting time extensions varying from 6 months to 20 months. She briefly reviewed the projects and recommended approval.

Commissioner Wolf moved to approve the waiver requests. Vice Chairman Reed seconded the motion which carried 6-0 (Commissioner Hallisey was absent).

2.8(5) Request for Waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines Section 47 - Timely Use of Funds from the City of Shasta Lake to extend the period of contract award up to 20 months for the Pine Grove Avenue Roadway Extension project.

Refer to Item 2.8(1) for proceedings related to this item.

2.8(6) Request for waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines, Section 47 - Timely Use of Funds, from the City of San Jose to extend the period of project reimbursement for two Silicon Valley Smart Corridor projects, from June 30, 2000 to February 28, 2001.

Refer to Item 2.8(1) for proceedings related to this item.

2.8(7) Request for waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines, Section 47 - Timely Use of Funds, from various agencies to extend the period of contract award for up to twenty months for one Transportation Enhancement Activities (TEA) Projects.

Marsha Mason, Caltrans, reviewed the request to extend the time deadline to award the Top of Topanga TEA project contract and recommended approval.

Vice Chairman Reed moved to approve the waiver request. Commissioner Kellogg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

- 2.8(8) Request for waiver of CTC Resolution G-99-03, 1998 STIP Interim Guidelines, Section 47 - Timely Use of Funds, from the Department of Transportation to extend the period of contract award from June 30, 1999 to September 30, 1999, for the Los Angeles County - Route 105 Soundwalls Project allocated under FX-98-05.**

Refer to item 2.8(1) for proceedings related to this item.

2.9 Technical Changes to Previously Approved Resolutions

- 2.9(1) Resolution FP-97-105. Originally approved June 1, 1998, in the amount of \$9,576,142 for twelve (12) Regional Improvement Program (RIP) projects. Change the purpose of allocation on project number 1 (Lassen County - Skyline Road East - Lassen LTC - PPNO 02-2046) from environmental and preliminary engineering funding to preliminary and right of way funding. This technical amendment will ensure that Local Assistance funding approved for the project is allocated as programmed.**

This item was approved on the Consent Calendar.

- 2.9(2) Resolution MFP-98-26. Originally approved May 5, 1999, in the amount of \$14,883,000 for six (6) Rail/Transit Regional Improvement Program (RIP) projects. Change the funding on Project #4 (Islais Creek Facility) from State/federal split (101-0042, \$580,000 and 101-890, \$2,000,000) to all State Administered Federal funds (101-0890, \$2,580,000). This technical amendment will allow the local recipient (SFPTC) to fully federalize the project.**

This item was approved on the Consent Calendar.

3. PROGRAM STATUS

3.1 Caltrans Monthly FY 1998-99 Finance Report

Joan Borucki, Caltrans, reviewed the budget and key budget issues, proposed budget control language, and relationship to other pending legislation. She also presented the monthly Finance Report, noting that the State Highway Account cash balance sits above \$1.7 billion, Caltrans is overspending its current state capital outlay budget amount by more than \$100 million, but local assistance is underspending its budget amount by more than \$200 million.

3.2 Monthly Report on Status of Projects Previously Voted but not Awarded

Jim Nicholas, Caltrans reviewed the nine projects on the list, noting that none had been added since the last meeting and five had now been awarded (one since the book item was prepared).

3.3 Caltrans Quarterly Report

Jack Boda, Caltrans, presented the Quarterly Report. He reviewed STIP and SHOPP program delivery, Right-of-Way certifications, Seismic Safety Retrofit completions, status of environmental document approvals, capital support, intercity rail, local assistance spending, use of program savings. He pointed out environmental documents and local project delivery as current problem areas needing attention. Commissioners discussed various items in the report. Therese McMillan, regional agencies moderator, discussed the need to focus on problems with local assistance project delivery, noting that regions are planning to examine the subject in a series of workshops this summer.

During his presentation, the Commission asked staff to:

- Incorporate comparison of expenditures versus percent of work accomplished into project tracking, report to the Commission on the methodology used, and include tracking results in future Quarterly Reports.

ACTION: J. Boda

- Present a comparison of Intercity Rail service in California versus the Northeast Corridor.

ACTION: R. Chung

- Notify Commission Office of the scheduling of local delivery workshops in July to permit Commissioners and Commission staff to attend.

ACTION: T. McMillan/B. Buckley

3.4 Closeout Report on FY 1998-99 Right-of-Way Program

Stephen Maller, Caltrans, presented the closeout report the FY 98-99 Right-of-Way Program noting that \$44.5 million of the planned \$180 million was expended.

The Commissioners discussed the Right-of-Way program expenditures and directed Caltrans staff to advise the Commission office of any redirection of right-of-way program funds for any purposes other than right-of-way.

ACTION: D. Shields

3.5 Report FY 1998-99 STIP Projects without Extension Requests

Stephen Maller, Caltrans, reviewed FY 98-99 STIP projects without extension requests that may lapse if not allocated by June 30, 1999.

3.6 Report on Timely Use of TEA Funds

- **Potential for Lapse of Federal TEA Funds September 1999**
- **Delivery Milestones and Commitment for Project Substitution for TEA Projects Scheduled for Delivery January through September 2000**

Marsha Mason, Caltrans, advised the Commission about the risk of lapse of federal TEA funds in 1999 and again in 2000, noted the role of conservation lands projects in forestalling the risk of lapse, and reviewed Caltrans' increased efforts at tracking progress of projects.

3.7 Monthly Report on the Century Freeway Drainage Problem Status and Feasibility

Jim Nicholas, Caltrans, presented the monthly report on the status of the Century Freeway Drainage Problem.

4. POLICY MATTERS

4.1 State Legislative Matters

Summary of 1999 Public Transportation Account Bills

Deputy Director Chuck Oldham reviewed the status of the following bills:

- SCA 3 (Burton) - Reauthorization of County Sales Tax
- AB 276 (Longville) - Public Transportation Account: Funding
- AB 308 (Longville) - Transit Capital Rehabilitation Funding Needs
- AB 521 (McClintock) - Sales Tax Revenues from Fuel Taxes: Uses
- SB 928 (Burton) - Transportation Financing: GARVEE Bonds
- AB 405 (Knox) - Design - Sequencing construction Contracts
- AB 1012 (Torlakson) - Project delivery Reform

The Commission discussed the action previously taken on the legislation and directed staff to continue to work with the bill authors on AB 308 and SB 928.

ACTION: C. Oldham

4.2 Presentation of Caltrans Interregional Transportation Improvement Program Related to 1998 State Transportation Improvement Program (STIP) Augmentation

This item was pulled from the agenda for presentation at the July Commission meeting.

4.3 Presentation and Discussion of Funding Arrangements for San Bernardino County I-15 Projects

Wayne Lewis, Caltrans, briefly reviewed the joint agreement on project funding. He said Caltrans intends to bring forward a STIP Amendment for the project, for notice at the July Commission meeting.

4.4 Assumptions for 2000 STIP Fund Estimate

Joan Borucki, Caltrans, reviewed various assumptions Caltrans is considering using to develop the fund estimate for the 2000 STIP. For the Public Transportation Account, those assumptions show revenues continuing to drop and expenditures to grow, resulting in a worsening deficit. For the State Highway Account, state revenue assumptions remain unchanged, federal revenue assumptions allow for some flexibility, escalation rates for inflation should perhaps be adjusted upwards, and assumptions about SHOPP, local assistance, and reserves are discretionary decisions. Executive Director Robert Remen then reviewed the context of the fund estimate in development of the STIP.

Commissioner Wolf recommended an aggressive stance on most issues, to increase the delivery pipeline. Therese McMillan, regional agencies moderator, emphasized the importance of arriving at decisions to guide the 2000 STIP, and then moving the focus to project delivery. Commissioner Reed questioned the assumptions about Public Transportation Account revenues, and Commissioner Wolf suggested assuming that recent volatility be treated as a short-term aberration. Commissioner Hallisey and Craig House, Caltrans, noted the value of continuing to show the Public Transportation Account in deficit to illustrate the problem of revenue volatility. Following some discussion, Commissioner Wolf moved, with a second from Commissioner Kellogg, that Caltrans use aggressive assumptions in developing the fund estimate. The motion passed 4-2 (Commissioners Reed and Kozberg voted against; Commissioner Torres was absent).

Ms. Borucki and Mr. House noted various assumptions pertaining to intercity rail, local project delivery, and creation of shelf-ready state projects. Ms. Borucki then reviewed several legislative proposals that could affect the fund estimate, none of which have yet passed, and observed that the assumption about the level of federal funding could make the biggest difference in the bottom line. Commissioners discussed these issues, and the effects of escalation rates for inflation. Commissioner Wolf urged assuming the maximum federal revenues that the law would indicate. Following further discussion, the Commission asked Caltrans to compare federal dollars actually received by California against the amount assumed in the last fund estimates, for the July meeting.

ACTION: J. Borucki

The Commission directed Caltrans to head toward reasonable but aggressive federal revenue estimates, and to favor higher escalation rates in keeping with recent inflation trends.

Ms. Borucki then discussed SHOPP funding levels, which she described as a policy decision, and noted Caltrans recommends an increase in funding to meet operations and safety needs. She suggested that the Commission assume reduced delivery for local assistance, in favor of more state project delivery, but retain the policy to fund all local assistance project delivery on demand. Commissioners discussed these issues, and the economic uncertainty reserve, and directed Caltrans to consider reducing the funding designated to local assistance, increasing funding for the SHOPP, and holding the economic reserve at the current \$66 million.

4.5 Southern Hearing on Draft Permanent STIP Guidelines

Garland Hagan, Caltrans, reviewed the draft of permanent STIP Guidelines. He noted that the Interim STIP Guidelines had been used as a basis to develop the permanent guidelines, with three sections deleted and fourteen new sections added. He also reviewed the three sections on which most discussion and written comments were focused.

Executive Director Robert Remen discussed the Commission's concern about compliance with timely use of funds requirements and delivery of programmed projects, and presented revised guidelines language to address these concerns.

Regional Agencies Moderator Therese McMillan indicated that regional agencies had discussed and generally support the new revisions, but want assurances that improvements in local project delivery tracking are forthcoming and project extensions will be attainable. She noted that regions accept the idea that performance measurement would be focused primarily on the regional plan not the RTIP, and regions are willing to consider increased SHOPP funding through the fund estimate on its merits.

No one else offered testimony at this southern hearing. The Commission will hold a second, northern hearing at the July meeting.

4.6 Report on Issues on Draft Project Study Report (PSR) Guidelines

Debbie Mah, Caltrans, discussed the status of the draft PSR Guidelines. She reported that they had been distributed through the RTPAs, Cities, and Counties and that the comments that had been received from three different agencies had been mostly technical in nature and had not changed the basics of the guidelines.

Regional Agencies Moderator Therese McMillan discussed the importance of coordination and cooperation between the regions and Caltrans districts. The Commission discussed the importance of consistency in the guidelines.

4.7 Status Report on Task Force Efforts to Improve Program Delivery

Jack Boda, Caltrans, discussed membership of the steering committee and three Caltrans task forces, and reviewed the focus of efforts. Therese McMillan, regional agencies moderator, reviewed the issues to be examined in a series of regional agency workshops in the summer, and offered a progress report at the September Commission meeting. Chairman Sylvester suggested that Commissioners and/or Commission staff should participate in the workshops.

4.8 Approval of Estimate of Regional and Statewide TEA Funds for FY 1997-98 Through FY 2003-04 Resolution G-99-16

Chief Deputy Director Pete Hathaway presented a chart showing the distribution of federal TEA funds among regional and state shares, widely circulated already but inadvertently left out of the revised fund estimate adopted by the Commission in January 1999. He recommended the Commission adopt the chart by resolution. Vice Chairman Reed moved to approve the resolution and chart. Commissioner Torres seconded the motion which carried 6-0 (Commissioner Wolf was absent).

4.9 Presentation of Candidate Projects for Conservation Lands Share of TEA

Marsha Mason, Caltrans, and Don Wallace, Resources Agency, reviewed the list and nature of candidate projects for the conservation lands share of the TEA program. Chief Deputy Director Pete Hathaway noted about \$17 million would be available for programming next month, enough to fund about 75% of the projects on the list, and emphasized the importance of moving expeditiously to fund TEA projects.

Vice Chairman Reed requested that additional information on the location of the projects be included so Commissioners could discern any conflicts of interests prior to adoption of the item.

4.10 Approval of Memorandum of Understanding (MOU) between the Commission, Los Angeles County Metropolitan Transportation Authority (LACMTA), and Pasadena Blue Line Construction Authority (PMBLCA) Resolution

Executive Director Remen reviewed the requirements and history of the MOU between the Commission, LACMTA, and PMBLCA.

Commissioner Torres discussed the Blue Line Corridor and reported that MTA had approved the MOU on a 9-2 vote. He stressed the importance of delivering the Blue Line and moved to approve the MOU. Vice Chairman Reed seconded the motion.

The following people submitted green cards and presented testimony to the Commission:

- Paul Little, Chair of the Pasadena Blue Line Construction Authority
- Graham Forman, representing Assemblyman Jack Scott
- Thomas Rubin, NAACP Legal Defense and Educational Fund, Inc.
- Martin Hernandez, Labor Community Strategy Center
- Paul Hubler, representing Senator Adam Schiff
- Kikanza Ramsey, Bus Riders Union

- Sara Clark, private citizen
- Marie Leong, Mount Washington Association
- James Leong, Mount Washington Association
- Robert Mason, private citizen
- John Walsh, LA Twice
- Ambrosende, private citizen
- Maria Guardado, private citizen
- Pat Moser, Southern California Transit Advocacy
- Allan Lipsky, LACMTA Chief Operating Officer

After the Commissioners discussed the testimony provided, a vote was taken. The motion to approve the MOU carried 6-0 (Commissioner Wolf was absent).

4.11 Capital Improvement Program (CIP) Biennial Update - Element of the California Aviation System Plan (CASP)

Bob Moore, Caltrans, reviewed the number, cost and type of projects included in the CIP. He also noted that ground access needs to airports are now included in the CIP as requested by the Commission.

Deputy Director Chuck Oldham reported that the Update will be reviewed by TACA who will present their recommendations to the Commission in July.

4.12 Report on All Local Assistance Projects Allocated by the Department

- 4.12(1) Delegation of Allocation Authority for 1998 STIP funds for Rideshare Projects Under Resolution G-98-24**
- 4.12(2) Delegation of Allocation Authority for 1998 STIP funds for (RSTP/CMAQ) Match Reserves Under Resolution G-98-25**
- 4.12(3) Delegation of Allocation Authority for Local Storm Damage and Local Street and Road Pavement Rehabilitation Projects Under Resolution G-99-09**
- 4.12(4) Delegation of Allocation Authority for Local Project Development Costs Under Resolution G-99-11**

Debbie Mah, Caltrans, presented the reports on the allocations made by Caltrans in accordance with its delegated authority. The delegations were as follows:

- Rideshare Projects: \$2,063,00 was allocated for three local rideshare projects.
- RSTP/CMAQ: none.
- Local Storm Damage/Local Street and Road pavement Rehabilitation Projects: \$12,996,000 was allocated for twenty projects.
- Local Project Development Costs: \$1,318,040 was allocated for various project development components related to twelve local STIP projects.

**4.13 Technical Corrections to 1998 STIP Augmentation
Resolution G-99-18 Amending Resolution G-99-07**

Chairman Sylvester presented the technical corrections to the 1998 STIP Augmentation and asked for a motion.

Vice Chairman Reed moved to approve the technical corrections. Commissioner Kellogg seconded the motion which carried 6-0 (Commissioner Wolf was absent).

5. MODAL ISSUES

5.1 Rail

No Items This Month

5.2 Highways

5.2a. Status Report on Schuyler Heim Bridge Retrofit Versus Replacement

Bob Sassaman, Caltrans, reviewed the cost comparisons of repairing and replacing the Schulyer Heim Bridge and confirmed that replacing the lift bridge at a cost of \$196 million, or constructing a new fixed bridge at a cost of \$86 million was more cost effective than rehabilitation for a cost of \$213 million.

Vice Chairman Reed noted that Commissioner Kozberg had originally suggested that Caltrans explore the cost of replacing rather than rehabilitating the bridge and thanked him for saving the taxpayers several million dollars.

5.2b. Status Report on Ventura Route 101 Bridge Over the Santa Clara River

Bob Sassaman, Caltrans, reviewed the project and indicated he would keep the Commission informed as to the status of the project.

ACTION: B. Sassaman

The following individuals submitted green cards and presented testimony to the Commission:

- Ginger Gherardi, Ventura County Transportation Commission
- Dr. Manual Lopez, City of Oxnard
- Ed Sotelle, City of Oxnard City Manager

5.2c. WORKSHOP: High Occupancy Vehicle (HOV) Lanes

Hamed Benouar, Caltrans, was present to review the statewide program for HOVs. However, Chairman Sylvester, citing time constraints, asked to have this workshop rescheduled for the July Commission meeting.

5.3 Transit

5.3a. Presentation on Proposed Los Angeles County Metropolitan Transportation Authority (LACMTA) Rapid Bus System

Chairman Sylvester asked Vice Chairman Reed to preside over this item.

Chairman Reed discussed the tour to Curitiba, Brazil which had been conducted earlier in the year to review Curitiba's rapid bus system. He also discussed some of the criteria for a successful rapid bus system which included exclusive right of way for the buses, signal prioritization, and improved bus stops and raised platforms.

Jim de la Loza, LACMTA, discussed the feasibility of a rapid bus system in Los Angeles.

Russ Chisholm, consultant, reviewed a proposed rapid bus system for Los Angeles including viable corridors, station prototypes, travel time improvements, and a project schedule.

Frances Banerjee, City of Los Angeles Department of Transportation General Manager, reported that the City was firmly committed to the project and talked about possible phasing of the project.

6. OTHER MATTERS

Mary Ann Perata, citizen from South Pasadena, requested time to address the Commission. She discussed the recent court ruling on the Route 710 project through South Pasadena.

There being no further business, the meeting adjourned at 1:10 p.m.

Robert I. Remen, Executive Director